

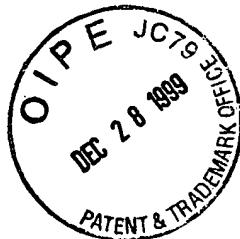
P A T E N T

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Robert K. Rowe et al.

Serial No.: 09/415,594



Examiner: Unknown

Filed: October 8, 1999

Group Art Unit: 3736

For: APPARATUS AND METHOD FOR IDENTIFICATION OF INDIVIDUALS BY  
NEAR-INFRARED SPECTRUM

Docket No.: 1023.1110101

Assistant Commissioner for Patents  
Washington, D.C. 20231

**COMMUNICATION**

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 23<sup>rd</sup> day of December, 1999.

By David M. Crompton  
David M. Crompton

Dear Sir:

Applicants filed the application covered by the caption indicated above on October 8, 1999. The signature of the inventors were, however, missing on the DECLARATION. In response to the filing, the United States Patent and Trademark Office issued a NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED document (FORM PTO-1533). That paper documented the fact that the filing date was granted for the application. Further, however, it documented a requirement that a properly signed Declaration in compliance with 37 CFR § 1.63, identifying the application by serial number and filing date, be submitted. That paper also required

that a surcharge in the amount of \$130, for a large entity, or \$65, for a small entity, be submitted.

The period for response was set to expire two months from the date of that paper. The unextended deadline for responding is, therefore, January 3, 2000.

Please find enclosed the "RESPONSE" copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED document, a Declaration signed by the named inventors, and a check in the amount of \$130.00.

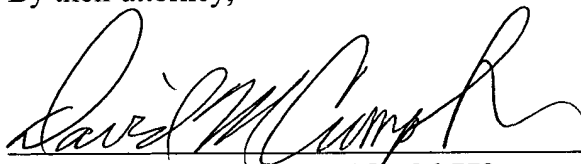
In view of the action taken herein, Applicants would submit that the requirements imposed by the NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED paper have been complied with. It is, therefore, requested that the application be passed for first examination.

Respectfully submitted,

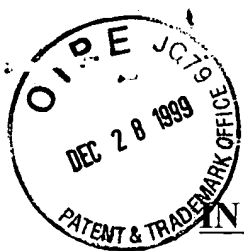
Robert K. Rowe et al.

By their attorney,

Dated: 12/23/99



David M. Crompton, Reg. No. 36,772  
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Sector 1823

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert K. Rowe et al.

Serial No.: 09/415,594

Examiner: Unknown

Filed: October 8, 1999

Group Art Unit: 3736

For: APPARATUS AND METHOD FOR IDENTIFICATION OF INDIVIDUALS  
BY NEAR-INFRARED SPECTRUM

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**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

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By David M. Crompton  
David M. Crompton

We are transmitting herewith the attached:

☐ Amendment

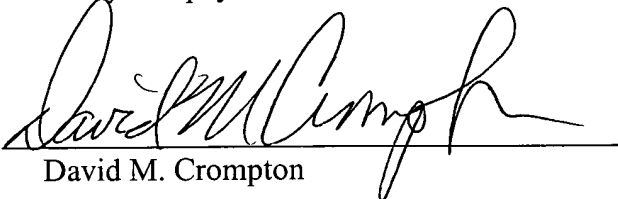
☐ No additional fee required

☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPENDENT CLAIMS	-	=		X39=	\$	X78=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+130=	\$	+260=	\$
TOTAL				\$		\$	



- [ XX ] A check in the amount of \$130.00 is enclosed.
- [ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [ XX ] Other: COMMUNICATION, RESPONSE COPY OF NOTICE TO FILE MISSING PARTS OF APPLICATION, AND EXECUTED DECLARATION AND POWER OF ATTORNEY.
- [XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:   
David M. Crompton

Reg. No. 36,772

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